



01-30-24

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

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Alan P. Kass
(Print Name)

Date:

January 29, 2004

Alan P. Kass
(Signature)

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Huirong YAO et al

Art Unit: To be Assigned

Serial No.: 10/721,883, filed November 26, 2003

Examiner: To be Assigned

For: **BOTTOM ANTIREFLECTIVE COATINGS**

**INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING
OR BEFORE MAILING OF THE FIRST OFFICE ACTION
(37 CFR 1.97 (b))**

January 27, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

2. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

3. COMPLETED FORM PTO-1449 IS ATTACHED.

4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED

☐ This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. _____ filed on _____.

5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement.

☐ Copy of International Search Report from

☐ Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

☒ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

English Language Translation of the abstract is provided for JP 37-9212.

☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

7. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



Attorney for Applicant(s)
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(Use as many sheets as necessary)

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| Sheet | 1 | of | 2 |
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|------------------------|-------------------|
| Application Number | 10/721,883 |
| Filing Date | November 26, 2003 |
| First Named Inventor | Huirong YAO |
| Art Unit | To be assigned |
| Examiner Name | To be assigned |
| Attorney Docket Number | 2003US311 |

[illegible]

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ *Number ⁴ *Kind Code ⁵ (if known) | | | | |
| | | EP 0 922 715 A2 | 6/16/1999 | Ohnishi | | |
| | | JP 37-9212 | 7/25/1962 | Kurashiki Rayon | | |
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| Examiner Signature | | Date Considered | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

| | |
|------------------------|-------------------|
| Application Number | 10/721,883 |
| Filing Date | November 26, 2003 |
| First Named Inventor | Huirong YAO |
| Art Unit | To be assigned |
| Examiner Name | To be assigned |
| Attorney Docket Number | 2003US311 |

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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| | | English language abstract of JP37009212, published 19620725 | |
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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